

Nonresponsive records



From: Morris C. Barren

Sent: Wednesday, February 3, 2021 8:50 AM


To: Kimberley H. Kaplan <khkaplan@oge.gov>

Subject: RE: Information regarding proposed DOE appointee - [Message contains CUI] - [Message contains CUI]

Hi Kim,

I'm following up on the status of the DOE question. It's my understanding that (b)(5) (b)(6)



 Please let me know if there is anything I'm missing or that I need to do.

I can put our interaction with DOE into AIMS once we feel that we're finished providing guidance to DOE on this question.

Have a good day.

Morris

From: Kimberley H. Kaplan

Sent: Thursday, January 28, 2021 9:22 AM

To: Morris C. Barren <mbarren@oge.gov>

Subject: FW: Information regarding proposed DOE appointee - [Message contains CUI] - [Message contains CUI]

Referral to DOE



Referral to DOE



From: Kimberley H. Kaplan <khkaplan@oge.gov>

Sent: Wednesday, January 27, 2021 2:41 PM

To: Gordon, Wayne <(b) (6)>; Kestenbaum, Rachel
<(b) (6)>

Subject: [EXTERNAL] RE: Information regarding proposed DOE appointee - [Message contains CUI] -
[Message contains CUI]

CONTROLLED

Thanks, and talk with you then!

Referral to DOE



From: Kimberley H. Kaplan <khkaplan@oge.gov> <<mailto:khkaplan@oge.gov>> >

Sent: Wednesday, January 27, 2021 12:46 PM

To: Gordon, Wayne <(b) (6)> <[\(b\) \(6\)](mailto:(b) (6))> >;
Kestenbaum, Rachel <(b) (6)>
<[\(b\) \(6\)](mailto:(b) (6))> >

Subject: [EXTERNAL] RE: Information regarding proposed DOE appointee - [Message contains CUI]

Hi Wayne and Rachel,

What is your availability for a follow up call?

We can do 3:30 pm or 4 pm today?

11:30 am tomorrow? 3 pm tomorrow?

Best,

Kim

Kim H. Kaplan

Senior Government Ethics Specialist

U.S. Office of Government Ethics

(202) 482-9269 phone

(202) 482-9238 fax

khkaplan@oge.gov <<mailto:khkaplan@oge.gov>>

Visit OGE's website: www.oge.gov <<http://www.oge.gov>>

Follow OGE on Twitter: @OfficeGovEthics

Referral to DOE



From: Kimberley H. Kaplan <khkaplan@oge.gov <<mailto:khkaplan@oge.gov>> >

Sent: Wednesday, January 27, 2021 11:15 AM

To: Gordon, Wayne <(b) (6) <[<mailto:\(b\) \(6\)>](mailto:(b) (6))> >

Subject: [EXTERNAL] Information regarding proposed DOE appointee - [Message contains CUI]

CONTROLLED

Much appreciated, Wayne.

Are the individual items listed (b)(5) (b)(6)

?

Best,

Kim

Kim H. Kaplan

Senior Government Ethics Specialist

U.S. Office of Government Ethics

(202) 482-9269 phone

(202) 482-9238 fax

khkaplan@oge.gov <<mailto:khkaplan@oge.gov>>

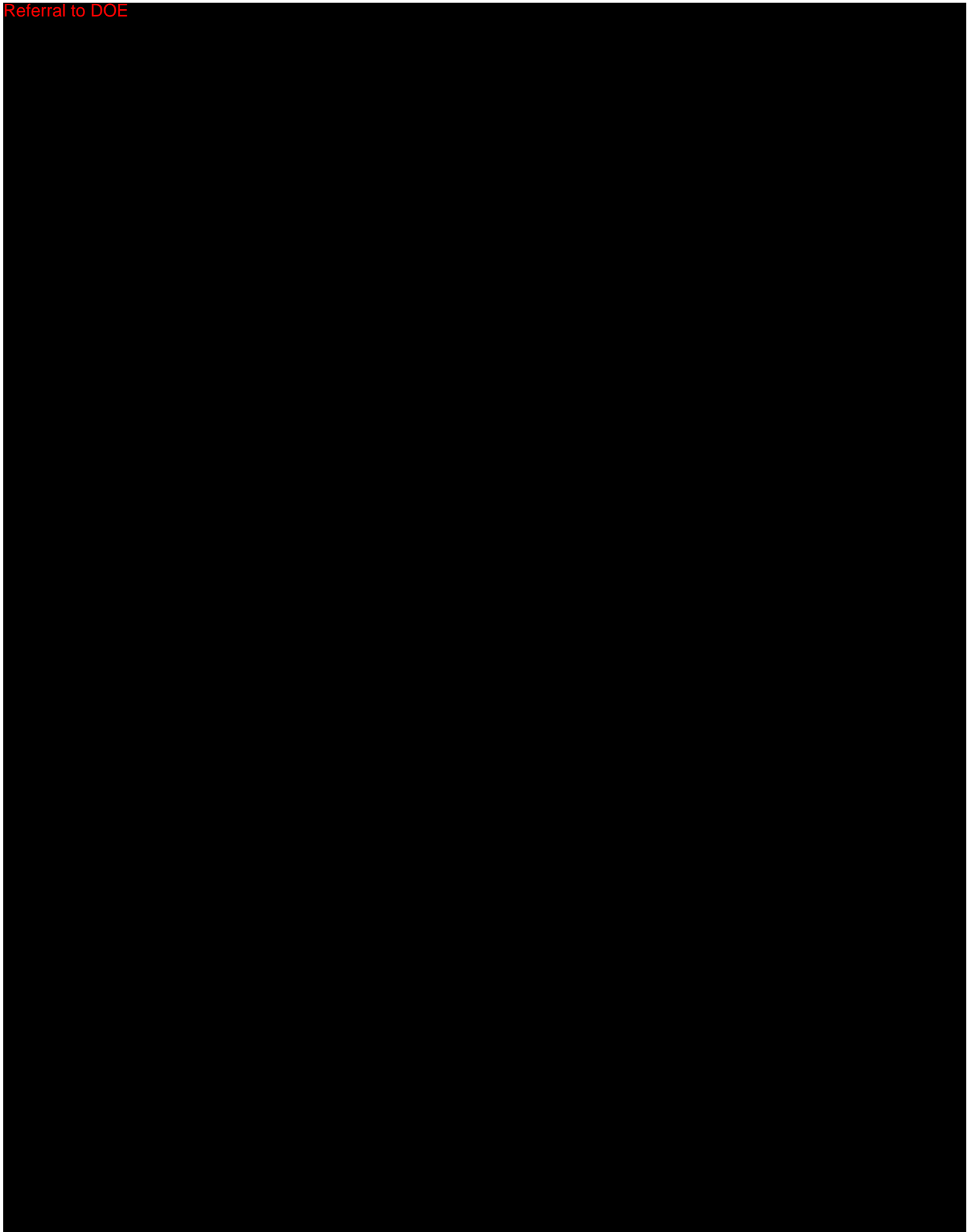
Visit OGE's website: www.oge.gov <<http://www.oge.gov>>

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Referral to DOE

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Referral to DOE



Referral to DOE

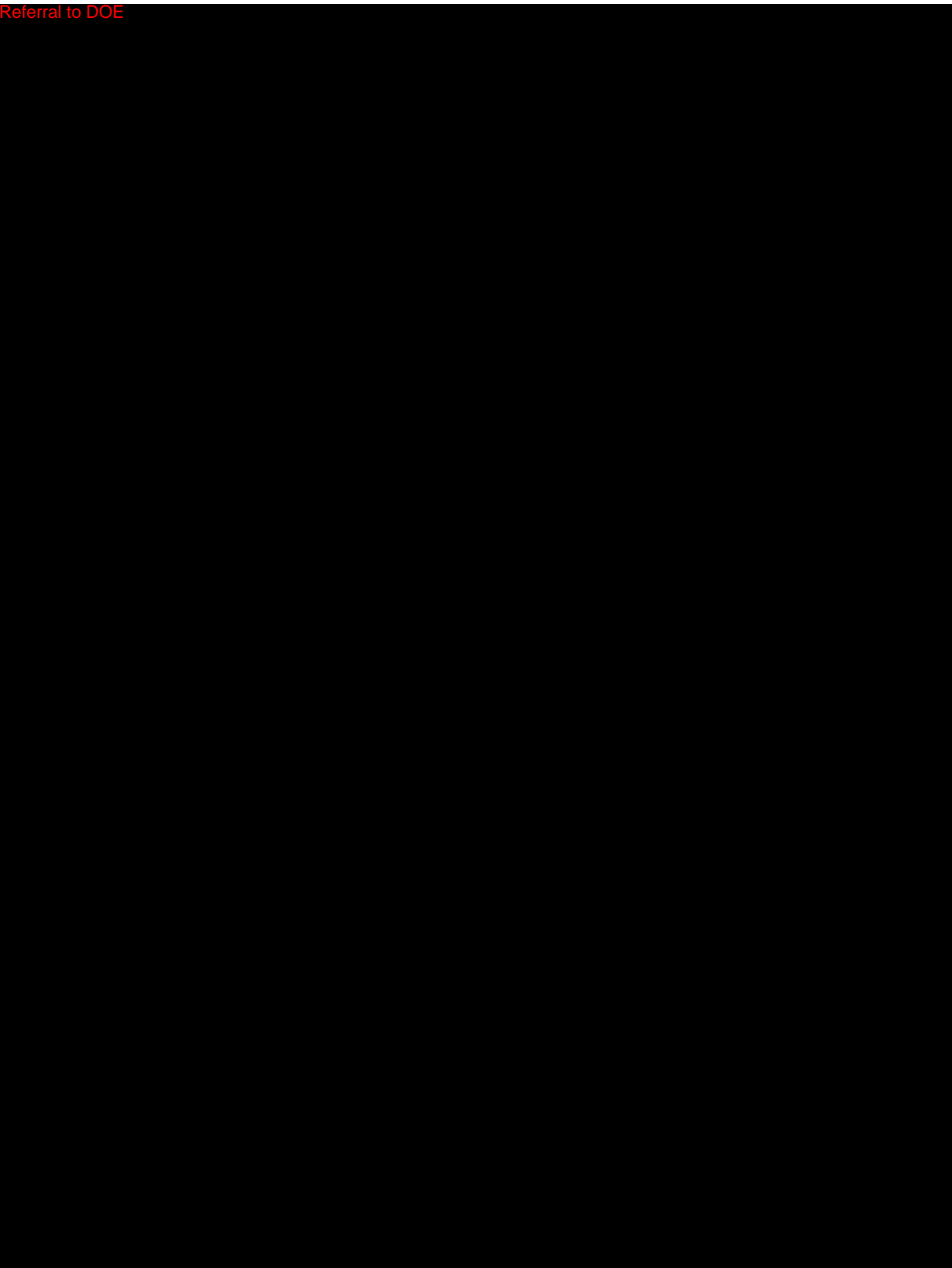
Referral to DOE

Referral to DOE



Referral to DOE

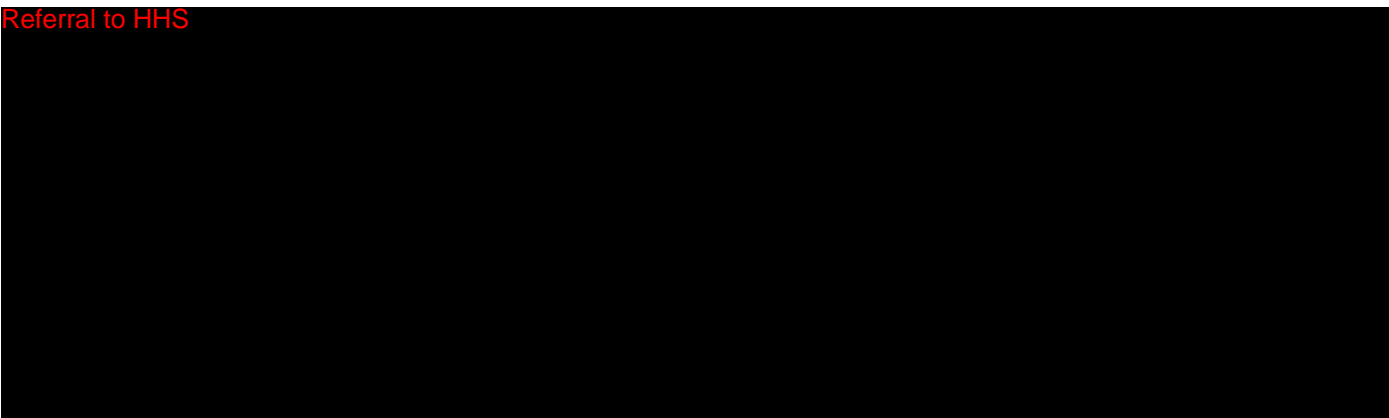




Referral to DOE



Referral to HHS



From: [Wendy G. Pond](#)
To: ["Dees, Karena \(HHS/OGC\)"](#)
Cc: [Monica M. G. Ashar](#)
Subject: RE: two HHS issues
Date: Thursday, February 4, 2021 12:25:04 PM

Thanks, Karena. I've copied Monica Ashar. She's the assigned attorney; she would either consult with you on the draft, or as it sounds more likely, would review the final document for legal sufficiency.

Regards,
Wendy

Referral to HHS



From: Wendy G. Pond <wgpond@oge.gov>
Sent: Wednesday, February 3, 2021 5:13 PM
To: Dees, Karena (HHS/OGC) <(b) (6)>
Cc: Hall, Randall (HHS/OGC) <(b) (6)>
Subject: RE: two HHS issues

Okay, I have now read this email.

I have entered the waiver into our routing system. Once you know more, can you advise as to the status, in terms of whether you are seeking OGE consultation or whether it's already been issued and it's merely for our records.

Regarding (b)(5) (unrelated question) I don't have guidance at the moment, but I am sharing the question (and concern) with our GC shop for input. Will let you know what I hear back.

Wendy

Referral to HHS



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prohibited. If you have received this email in error, please notify the sender by responding to the email and then immediately delete the email.

From: [Monica M. G. Ashar](#)
To: ["Dees, Karena \(HHS/OGC\)"](#)
Cc: [Wendy G. Pond](#)
Subject: RE: two HHS issues [Message contains CUI]
Date: Monday, February 8, 2021 1:34:52 PM

CONTROLLED

Hi Karena,

I just wanted to check back again regarding the 208(b)(1) waiver. If you have a moment, could I ask you to give me a call at 202-482-9282?

Thanks,
Monica Ashar

Monica M.G. Ashar
Associate Counsel
U.S. Office of Government Ethics
Phone: (202) 482-9282

From: Monica M. G. Ashar
Sent: Friday, February 5, 2021 8:58 AM
To: 'Dees, Karena (HHS/OGC)' <(b) (6)>
Cc: Wendy G. Pond <wgpond@oge.gov>
Subject: RE: two HHS issues [Message contains CUI]

CONTROLLED

Hi Karena,

I took a look at the draft waiver, and I have a few questions and comments. Even if it's too late to make changes at this point, the answers would be helpful for my notes. Could I ask you to give me a call when you have a moment? My number is 202-482-9282.

Thanks,
Monica Ashar

Monica M.G. Ashar
Associate Counsel
U.S. Office of Government Ethics
Phone: (202) 482-9282

From: Wendy G. Pond
Sent: Thursday, February 4, 2021 12:25 PM
To: 'Dees, Karena (HHS/OGC)' <(b) (6)>

Cc: Monica M. G. Ashar <mmgashar@oge.gov>

Subject: RE: two HHS issues

Thanks, Karena. I've copied Monica Ashar. She's the assigned attorney; she would either consult with you on the draft, or as it sounds more likely, would review the final document for legal sufficiency.

Regards,
Wendy

Referral to HHS



From: Wendy G. Pond <wgpond@oge.gov>

Sent: Wednesday, February 3, 2021 5:13 PM

To: Dees, Karena (HHS/OGC) <(b) (6)>

Cc: Hall, Randall (HHS/OGC) (b) (6) >

Subject: RE: two HHS issues

Okay, I have now read this email.

I have entered the waiver into our routing system. Once you know more, can you advise as to the status, in terms of whether you are seeking OGE consultation or whether it's already been issued and it's merely for our records.

Regarding (b)(5) (unrelated question): I don't have guidance at the moment, but I am sharing the question (and concern) with our GC shop for input. Will let you know what I hear back.

Wendy

Referral to HHS



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Referral to DOE



From: [Elaine Newton](#)
To: (b)(6) - Melinda Comfere's email address
Subject: Wilcox Waiver - [Message contains CUI]
Date: Wednesday, February 17, 2021 8:23:36 AM

CONTROLLED

Good morning,

Thank you for sending over the 18 U.S.C. §208(b)(1) waiver for Jennifer Wilcox for OGE consultation. I have a few questions.

1. The waiver allows the employee to participate in (b)(5) (b)(6)

Can you please provide (b) (5)

2. (b)(5), (6)

?

3. Could you please provide some information on the (b)(5) (b)(6)

Please let me know if you have any questions.

Thanks, Elaine

Elaine Newton
Associate Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3915
Telephone: 202-482-9265

Referral to DOE

From: Morris C. Barren <mbarren@oge.gov>
Sent: Thursday, February 18, 2021 1:26 PM
To: Kestenbaum, Rachel <(b) (6)>
Subject: [EXTERNAL] Waiver (Jigar Shah) - [Message contains CUI]

CONTROLLED

Hi Rachel,

I look forward to working with you on this waiver. As an update, I plan to have my initial edits/comments to you soon. Feel free to contact me with any questions.

Thanks,

Morris Barren

Assistant Counsel

Ethics Law & Policy Branch

U.S. Office of Government Ethics

(202) 482-9266

mbarren@oge.gov

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From: [Morris C. Barren](#)
To: [Gordon, Wayne](#)
Subject: RE: Waiver (Jigar Shah) - [Message and attachment(s) contain CUI]
Date: Thursday, February 18, 2021 7:15:39 PM
Attachments: [Jigar Shah waiver DOE 02162021.docx](#)

CONTROLLED

Email and attachment(s) contain CUI.

Hi Wayne,

I attached the draft waiver with my initial edits and comments. Once you have reviewed my edits and comments, please let me know when you are available to discuss.

Thanks,

Morris

Referral to DOE

From: Morris C. Barren <mbarren@oge.gov <<mailto:mbarren@oge.gov>> >
Sent: Thursday, February 18, 2021 1:26 PM
To: Kestenbaum, Rachel <(b) (6)>
<[<\(b\) \(6\)>](mailto:(b) (6))> >
Subject: [EXTERNAL] Waiver (Jigar Shah) - [Message contains CUI]

CONTROLLED

Hi Rachel,

I look forward to working with you on this waiver. As an update, I plan to have my initial edits/comments to you soon. Feel free to contact me with any questions.

Thanks,

Morris Barren
Assistant Counsel
Ethics Law & Policy Branch
U.S. Office of Government Ethics
(202) 482-9266
mbarren@oge.gov <<mailto:meyukins@oge.gov>>

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From: [Morris C. Barren](#)
To: [Gordon, Wayne](#)
Subject: Fw: RE: Waiver (Jigar Shah) - [Message and attachment(s) contain CUI] - [Message and any attachment(s) contain CUI]
Date: Friday, February 19, 2021 2:39:09 PM
Attachments: [Jigar Shah waiver DOE 02162021.docx](#)

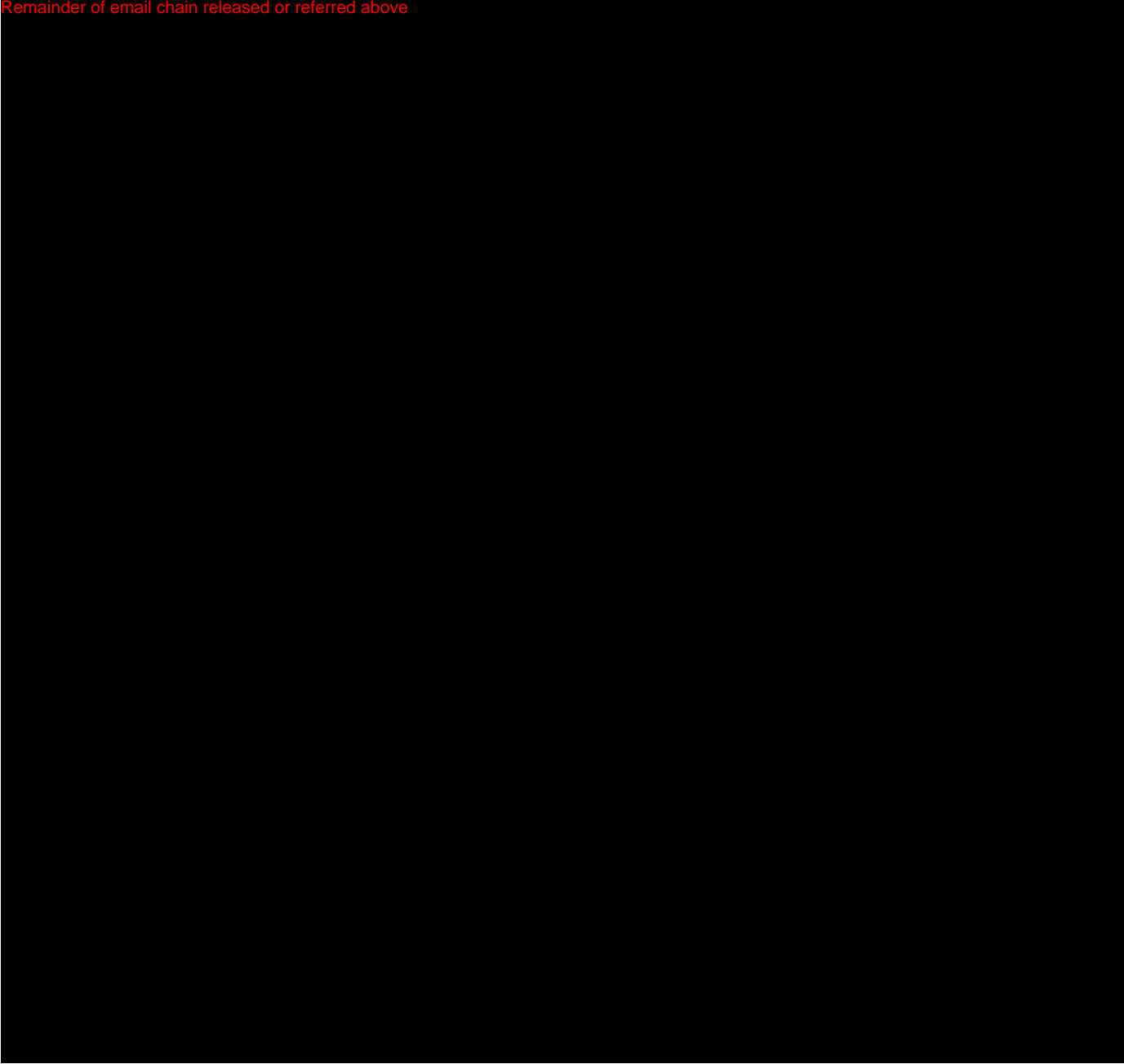
Attachment is the same as the one on the email sent from M Barren on 2/28/21 at 7:15:39, above.

CONTROLLED

Email and any attachment(s) contain CUI.

Resend

Remainder of email chain released or referred above



From: [Morris C. Barren](#)
To: ["Gordon, Wayne"](#)
Subject: Jigar Shah Waiver - [Message contains CUI]
Date: Friday, February 19, 2021 2:40:56 PM

CONTROLLED

Hi Wayne,

I sent you the waiver with my initial comments and edits yesterday evening. I just forwarded the email again. Please let me know whether you received it.

Thanks!

Morris

Referral to DOE



From: [Morris C. Barren](#)
To: ["Gordon, Wayne"](#)
Subject: RE: Jigar Shah Waiver - [Message contains CUI] - [Message contains CUI]
Date: Friday, February 19, 2021 2:44:52 PM

CONTROLLED

Did you get my email sent yesterday? I just want to be sure you have my edits and comments.

Referral to DOE



Remainder of email chain released or referred above



Referral to DOE



Remainder of email chain released or referred above



From: [Morris C. Barren](#)
To: ["Gordon, Wayne"](#)
Subject: Proposed Waiver (Jigar Shah) - [Message contains CUI]
Date: Monday, February 22, 2021 11:34:30 AM

CONTROLLED

Hi Wayne,

Are you available at 1:30pm today? If so, can you call me on my office number?

Morris Barren

Assistant Counsel, ELPB
(202) 482-9266

Remainder of email chain released or referred above



From: [Cheryl L. Kane-Piasecki](#)
To: (b)(6) - Tremayne Bonaugh's email address
Subject: RE: Sturm, Rex (Logan)--208b1 waiver draft
Date: Tuesday, February 23, 2021 10:40:00 AM

Thanks, Tremayne. Will get this in the queue asap.

Hope you are well.

Best,
Cheryl

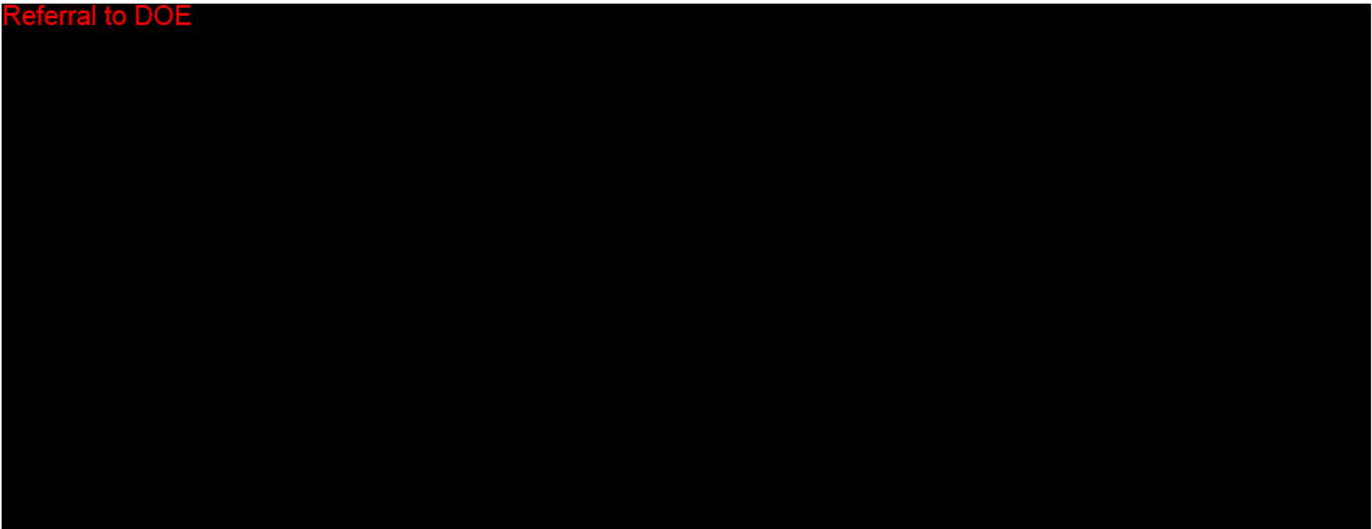
Cheryl Kane-Piasecki
Program Counsel Division
T: 202-482-9252
Email: clkanepi@oge.gov
Pronouns: she, her

Visit OGE at www.oge.gov
Follow OGE on Twitter @OfficeGovEthics

Referral to Treasury



Referral to DOE



From: Morris C. Barren <mbarren@oge.gov>

Sent: Monday, February 22, 2021 3:17 PM

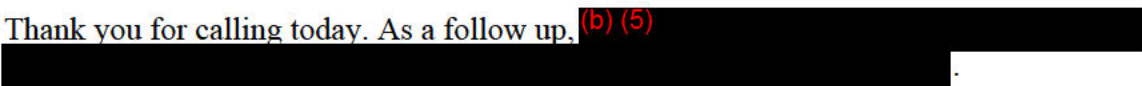
To: Gordon, Wayne <(b) (6)>

Subject: [EXTERNAL] RE: Proposed Waiver (Jigar Shah) - [Message contains CUI]

CONTROLLED

Hi Wayne,

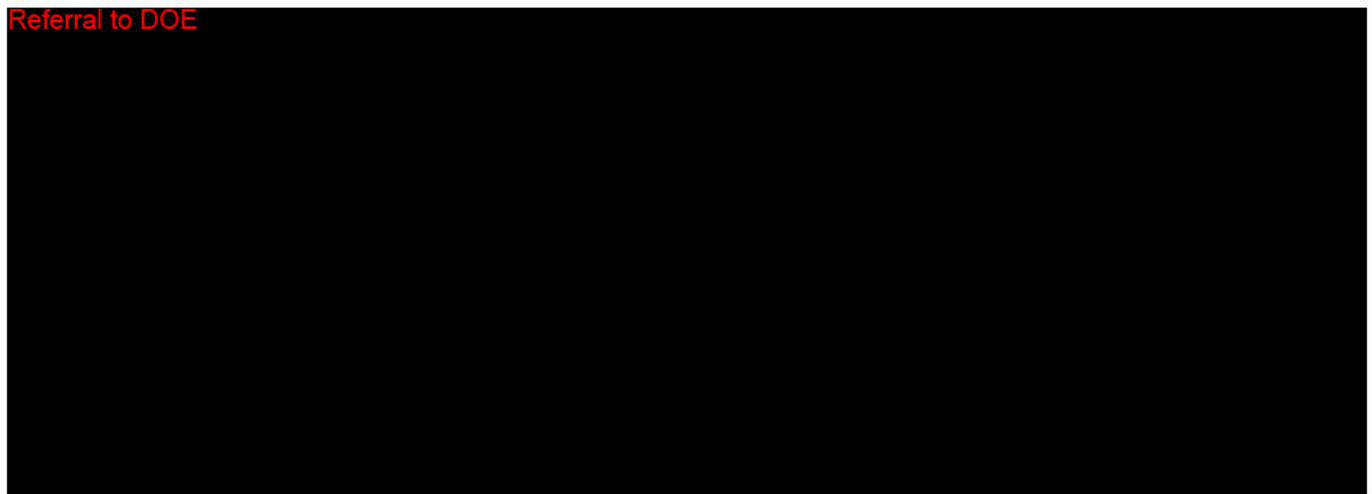
Thank you for calling today. As a follow up, (b) (5)



Thanks,

Morris

Referral to DOE



From: Morris C. Barren <mbarren@oge.gov <<mailto:mbarren@oge.gov>> >

Sent: Monday, February 22, 2021 11:34 AM

To: Gordon, Wayne <(b) (6)> <[\(b\) \(6\)](mailto:(b) (6))> >

Subject: [EXTERNAL] Proposed Waiver (Jigar Shah) - [Message contains CUI]

CONTROLLED

Hi Wayne,

Are you available at 1:30pm today? If so, can you call me on my office number?

Morris Barren

Assistant Counsel, ELPB

(202) 482-9266

Remainder of email chain released or referred above



From: [Morris C. Barren](#)
To: [Seth Jaffe](#)
Subject: Draft waiver
Date: Tuesday, February 23, 2021 2:27:43 PM
Attachments: [Jigar Shah waiver 23 February 2021.docx](#)

5 page attachment withheld
in full - (b)(5)

Morris Barren

Assistant Counsel, ELPB

(202) 482-9266

From: [Morris C. Barren](#)
To: ["Gordon, Wayne"](#)
Cc: ["Kestenbaum, Rachel"](#)
Subject: RE: Schedule for a call tomorrow - [Message and attachment(s) contain CUI]
Date: Wednesday, February 24, 2021 1:39:37 PM
Attachments: [Jigar Shah Waiver dated 24 Feb 2021.docx](#)

5 page attachment withheld in full - (b)(5).

CONTROLLED

Email and attachment(s) contain CUI.

Thanks, Wayne.

I made a couple minor edits ((b) (5)),
and OGE does not object to the attached waiver as edited. Please let me know if you have any questions.

Take care,

Morris

Referral to DOE



From: Morris C. Barren <mbarren@oge.gov <<mailto:mbarren@oge.gov>>>
Sent: Wednesday, February 24, 2021 10:59 AM
To: Gordon, Wayne ((b) (6)) <[mailto:\(\(b\) \(6\)\)](mailto:((b) (6)))>>
Subject: RE: Schedule for a call tomorrow - [Message and attachment(s) contain CUI]

CONTROLLED

Email and attachment(s) contain CUI.

No attachment found in search

Hi Wayne,

My edits to the waiver are in the attached. At this point, I believe (b) (5)

. As a note, (b) (5)

Please share the updated waiver, so I have it available in case our GC wants to view the waiver

(b) (5).

Thanks,

Morris

Referral to DOE

From: Morris C. Barren <mbarren@oge.gov <<mailto:mbarren@oge.gov>> > >
<<mailto:mbarren@oge.gov>> > >

Sent: Wednesday, February 24, 2021 9:05 AM

To: Gordon, Wayne <(b) (6) <[<mailto:\(b\) \(6\)>](mailto:(b) (6))> > >
<[<mailto:\(b\) \(6\)>](mailto:(b) (6))> > >

Subject: [EXTERNAL] RE: Schedule for a call tomorrow

Hi Wayne,

Our CD lead has an appointment this morning, but she will join the call if she's back from her appointment in time. I did speak with her early this morning and with my supervisor, so I'm prepared to still have the call at 9:30. If you would like to speak before, feel free to give me a call.

Morris Barren

Assistant Counsel, ELPB
(202) 482-9266

Referral to DOE



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From: [Elaine Newton](#)
To: ["Comfort, Melinda"](#)
Subject: RE: Wilcox Waiver - [Message contains CUI] - [Message contains CUI]
Date: Thursday, February 25, 2021 2:14:06 PM

CONTROLLED

Good afternoon,

Thank you for the additional information. My only comment concerns the employee's title in the first paragraph. In the first sentence she is referred to as the "Deputy Assistant Secretary" rather than the "Principal Deputy Assistant Secretary."

Also, who will issue the waiver since the position of Assistant Secretary for Fossil Energy is vacant?

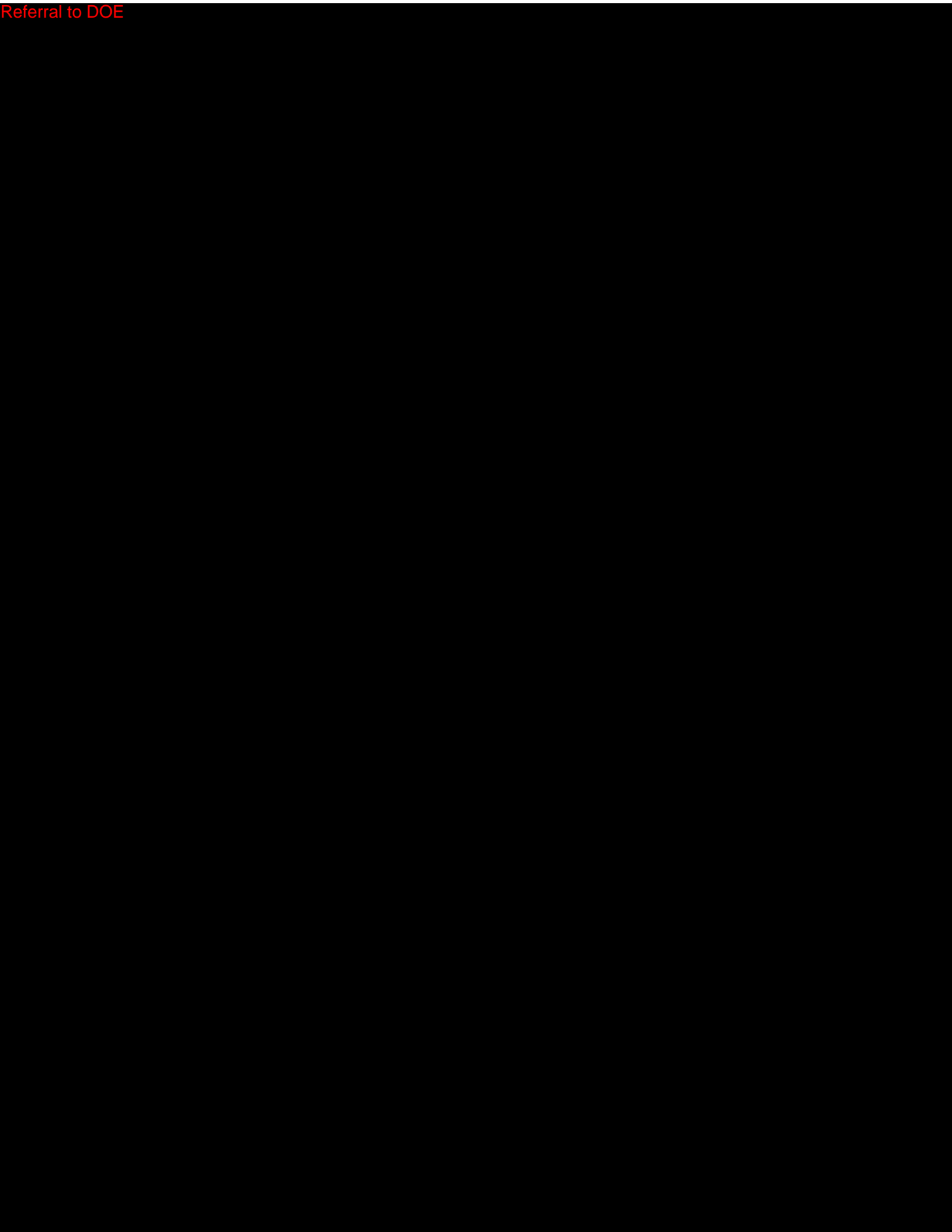
Please send OGE a copy of the final waiver after it has been issued.

Thanks, Elaine

Elaine Newton
Associate Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3915
Telephone: 202-482-9265

Referral to DOE





Referral to DOE



Remainder of email chain released or referred above



From: [Elaine Newton](#)
To: (b)(6) - Tremayne Bonaugh's email address
Subject: Sturm 208(b)(1) Waiver - [Message contains CUI]
Date: Friday, February 26, 2021 11:19:24 AM

CONTROLLED

Good morning,

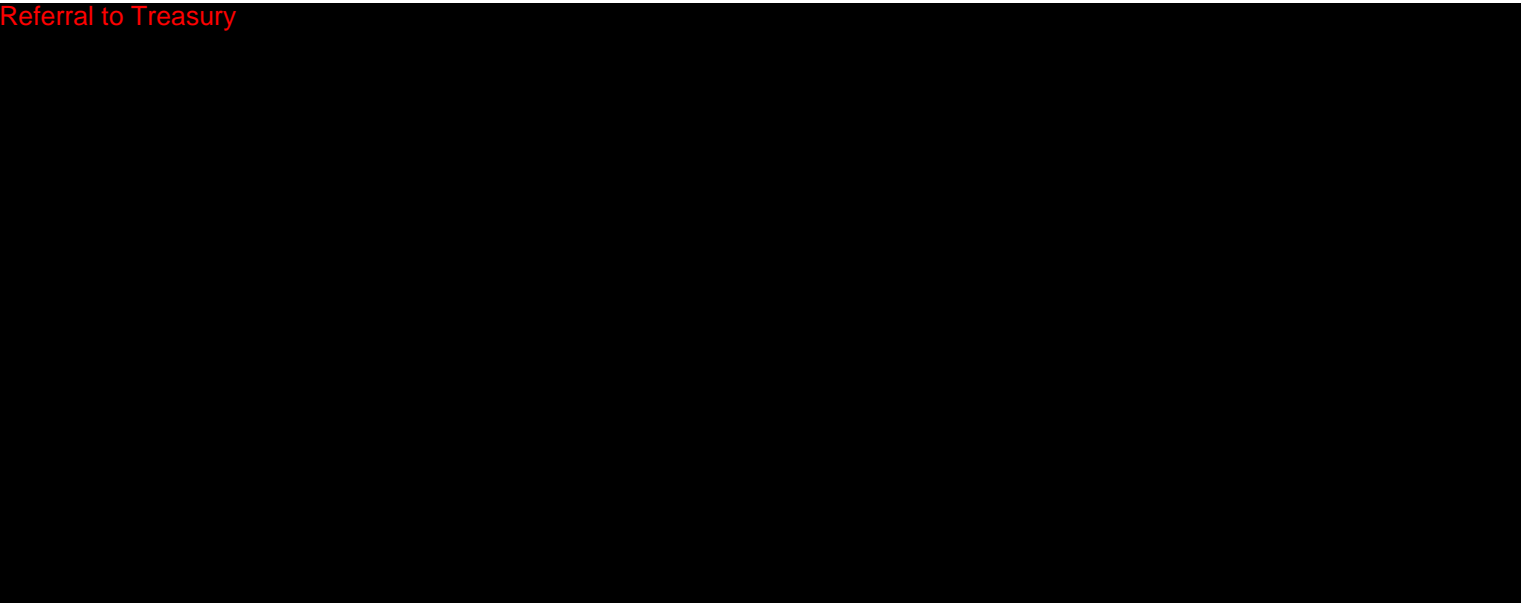
Thank you for sending over the 18 U.S.C. §208(b)(1) waiver for Rex (Logan) Sturm, Jr. I have reviewed the waiver and do not have any questions. OGE does not object to the issuance of this waiver. Please provide OGE a copy of the final waiver after it is issued.

Please let me know if you have any questions.

Thanks, Elaine

Elaine Newton
Associate Counsel
U.S. Office of Government Ethics
1201 New York Ave., NW, Suite 500
Washington, DC 20005-3915
Telephone: 202-482-9265

Referral to Treasury



From: [David J. Apol](#)
To: [Seth Jaffe](#)
Subject: FW: Slavitt 208 Waiver
Date: Tuesday, March 2, 2021 12:22:33 PM
Attachments: [Slavitt Waiver - Signed by DR.pdf](#)

Attachment withheld in full - (b)(3). A copy of Andrew Slavitt's 208 waiver can be obtained directly from the WH.

Here is the waiver we got in yesterday.

From: Olorunnipa, Funmi B. EOP/WHO [mailto:(b) (6)]
Sent: Monday, March 1, 2021 4:29 PM
To: David Taube <dtaube@oge.gov>; David J. Apol <djapol@oge.gov>
Cc: Kiernan, Leslie B. EOP/WHO <(b) (6)>
Subject: Slavitt 208 Waiver

Attachment is the same as the above email's attachment.

David and Dave,

I am attaching to this email, a signed copy of the 208 Waiver for Andy Slavitt. Please let me know if you have any questions.

Thanks,

Funmi Olorunnipa
Special Assistant to the President & Associate Counsel
Office of the White House Counsel
(b) (6)

From: [David Taube](#)
To: [David J. Apol](#); [Seth Jaffe](#)
Subject: RE: Slavitt 208 Waiver
Date: Tuesday, March 2, 2021 12:34:14 PM
Attachments: [Slavitt Waiver - Signed by DR.PDF](#)

Attachment is the same as the one on the email sent from D Apol on 3/2/21 at 12:22:33, above.

Dave: Thank you.

Seth: A copy of the waiver is attached.

-David

From: David J. Apol
Sent: Tuesday, March 2, 2021 12:22
To: David Taube <dtaube@oge.gov>; Seth Jaffe <sjaaffe@oge.gov>
Subject: RE: Slavitt 208 Waiver

Sorry David. Seth and I worked on it with the transition team. We should look at it to make sure it is consistent with what we discussed. Seth will let you know how to proceed once that has been done.

From: David Taube
Sent: Tuesday, March 2, 2021 12:04 PM
To: David J. Apol <djapol@oge.gov>
Subject: FW: Slavitt 208 Waiver

Dave,

Did you work on this waiver with Funmi?

It's my first encounter with it, and it doesn't appear to be in FDTs. If somebody from OGE has reviewed it, or if it was issued without OGE review under exigent circumstances, I can create the FDTs entry and mark it complete. Otherwise, I guess I'll need to circle back to Funmi and talk about a path forward.

-David

Remainder of email chain released or referred above



From: [Monica M. G. Ashar](#)
To: ["Dees, Karena \(HHS/OGC\)"](#)
Cc: [Wendy G. Pond](#); [Benson, Paul-Jon \(HHS/OGC\)](#)
Subject: RE: Sommers 208(b)(1) Waiver [Message contains CUI]
Date: Wednesday, March 3, 2021 3:43:08 PM

CONTROLLED

Thanks, Karena! I appreciate it.

Monica M.G. Ashar
Associate Counsel
U.S. Office of Government Ethics
Phone: (202) 482-9282

Referral to HHS



From: Monica M. G. Ashar <mmgashar@oge.gov>
Sent: Tuesday, March 2, 2021 9:02 AM
To: Dees, Karena (HHS/OGC) <(b) (6)>
Cc: Wendy G. Pond <wgpond@oge.gov>
Subject: Sommers 208(b)(1) Waiver [Message contains CUI]

CONTROLLED

Hi Karena,

I just wanted to check back to see whether you have the signed 208(b)(1) waiver for Dr. Benjamin Sommers. If so, could you send me a copy?

Thanks,
Monica

Monica M.G. Ashar
Associate Counsel
U.S. Office of Government Ethics
Phone: (202) 482-9282

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Referral to DOE



From: [Morris C. Barren](#)
To: ["Kestenbaum, Rachel"](#)
Cc: ["Gordon, Wayne"](#); ["Richards, Jocelyn"](#)
Subject: DOE 208 Waiver Issued - [Message contains CUI]
Date: Monday, March 22, 2021 8:41:03 AM

CONTROLLED

Thanks, Rachel. Have a good day.

Morris

Referral to DOE



From: [Maura Leary](#)
To: [Harris, Adriel](#)
Subject: Waiver Review
Date: Tuesday, March 30, 2021 10:52:35 AM
Attachments: [Sumption, Nancy 208 Waiver V7.docx](#)

3 page attachment named "Sumption, Nancy 208 Waiver V7.docx" withheld in full - (b)(5).

Good morning Adriel,

I have reviewed the draft waiver for Nancy Sumption and my comments are attached. I am happy to discuss further, and noted some places where I thought more information would be helpful. Happy to discuss by phone or via email – just let me know.

Thank you,
Maura

Maura K. Leary
Assistant Counsel
U.S. Office of Government Ethics
Telephone: 202-482-9231
mleary@oge.gov

From: [Marjorie R. Levine](#)
To: [Maura Leary](#)
Subject: RE: SEC request for status update on Sumption waiver - [Message contains CUI]
Date: Tuesday, March 30, 2021 11:49:30 AM

Ok good to know, thanks for the update!

From: Maura Leary
Sent: Tuesday, March 30, 2021 11:49 AM
To: Marjorie R. Levine <mlevine@oge.gov>
Subject: RE: SEC request for status update on Sumption waiver - [Message contains CUI]

Hi Marjie,

I sent my edits to Adriel this morning. (b) (5)
, so the review took a little longer than normal. But I've reached out to her and will updated FDTs accordingly.

Thanks,
Maura

Maura K. Leary
Assistant Counsel
U.S. Office of Government Ethics
Telephone: 202-482-9231
mleary@oge.gov

From: Marjorie R. Levine
Sent: Tuesday, March 30, 2021 11:47 AM
To: Maura Leary <mleary@oge.gov>
Subject: SEC request for status update on Sumption waiver - [Message contains CUI]

CONTROLLED

Hi Maura,

I received an email yesterday (when I was on leave) from SEC attorney Adriel Harris (b)(6) - Adriel Harris's email address asking about the status of the waiver for Nancy Sumption. I see that the waiver is assigned to you. Could you check in with Adriel if you haven't already?

Thanks,
Marjie

Marjorie Levine

Assistant Counsel, Ethics Law & Policy Branch

Office of Government Ethics

mlevine@oge.gov <<mailto:mlevine@oge.gov>>

(202) 482-9268

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Referral to SEC



Referral to SEC



From: [Jennifer Matis](#)
To: ["Pleasants, Edith E. \(OGC\)"](#)
Subject: RE: 18 USC 208 Draft Waiver OGC 161547
Date: Monday, April 12, 2021 2:38:59 PM

Sure thing, hope you are well.

Jen

Referral to VA OGC



From: [Jennifer Matis](#)
To: ["Pleasants, Edith E. \(OGC\)"](#)
Subject: RE: GCLAWS 161547 RE: 208 Waiver
Date: Tuesday, April 20, 2021 10:11:04 AM

Yes, is this a new one or the same one? The one you sent me on 4/12 is being reviewed.

Referral to VA OGC



Referral to VA OGC



Referral to VA OGC



Referral to VA OGC



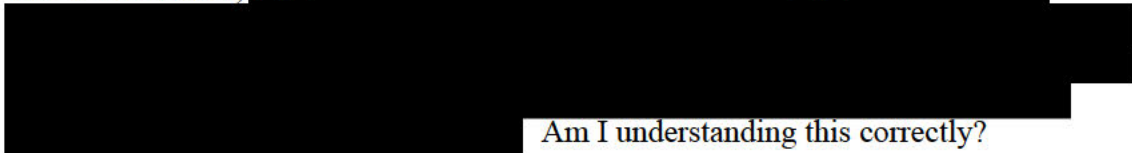
From: Christie Chung <cchung@oge.gov>
Sent: Tuesday, April 20, 2021 11:45 AM
To: Pleasants, Edith E. (OGC) <(b) (6)>
Subject: [EXTERNAL] Koffler § 208(b)(1) waiver - [Message contains CUI]

CONTROLLED

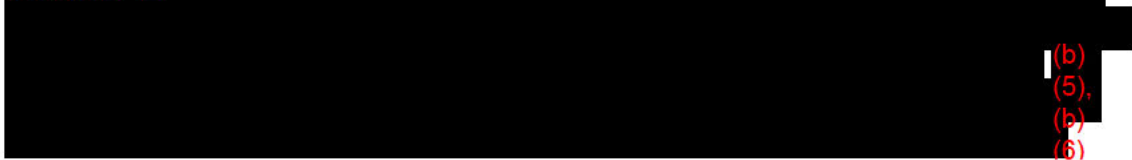
Good morning, Edith,

I've been assigned to review the § 208(b)(1) waiver that you've submitted for Yacov Koffler. Before I send back some comments, I was hoping you could help clarify some questions that I have:

1. As I understand it, (b)(5) deliberative and some information also (b)(6)

 Am I understanding this correctly?

2. (b) (5), (b) (6)

 (b)
(5),
(b)
(6)

Please let me know if either of these questions is unclear, and thank you in advance for your input.

-- Christie

Christie Chung
Assistant Counsel
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington, DC 20005
Office: (202) 482-9221

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Referral to VA OGC



From: Christie Chung <cchung@oge.gov>

Sent: Tuesday, April 20, 2021 3:13 PM

To: Pleasants, Edith E. (OGC) (b) (6) >

Subject: [EXTERNAL] RE: 161547 GCLAWS Koffler § 208(b)(1) waiver - [Message contains CUI] - [Message and attachment(s) contain CUI]

Edith,

Thanks for providing clarification on the below two questions. Attached are my comments/suggested edits on Dr. Koffler's draft waiver.

Please let me know if you have any questions. I'd be happy to discuss via email or phone.

Best,

Christie

CONTROLLED

Email and attachment(s) contain CUI.

First attachment released below. Second attachment named "Koffler Draft Waiver (OGE edits).docx" consisting of 8 pages withheld in full - (b)(5).

Remainder of email chain released or referred above





CONTROLLED UNCLASSIFIED INFORMATION (CUI)

Use this space to indicate categories/subcategories, special instructions, points of contact, etc., if needed.

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From: [Seth Jaffe](#)
To: [David Taube](#)
Subject: RE: FDTS Waivers report over 60 days
Date: Monday, April 26, 2021 8:19:58 AM

David,

Thank you for the update. If we do not get the waivers soon let's discuss at the end of this week or next week.

Thanks again,
Seth

-----Original Message-----

From: David Taube
Sent: Saturday, April 24, 2021 7:45 PM
To: Seth Jaffe <sjaffe@oge.gov>
Subject: RE: FDTS Waivers report over 60 days

Seth,

You and I both have waivers on this list for (b) (6). I've sent (b) (6) a few requests to send the waivers to me, including one request just a few minutes ago.

I'm reasonably confident that (b) (6) will send us the documents soon. If not, you and I can discuss next steps.

-David

-----Original Message-----

From: Seth Jaffe
Sent: Friday, April 23, 2021 13:10
To: ELPB MailGroup <ELPBMailGroup@oge.gov>
Subject: FW: FDTS Waivers report over 60 days

Dear folks,

Please let me know the status if you are currently assigned to any of these waivers.

Thank you,
Seth

-----Original Message-----

From: NoReply@oge.gov [<mailto:NoReply@oge.gov>]
Sent: Friday, April 23, 2021 1:00 PM
To: Seth Jaffe <sjaffe@oge.gov>
Subject: FDTS Waivers report over 60 days

Hello,

There are currently 5 waivers over 60 days from date assigned.

Please open the link below to review them.

NOTE: If you have already reviewed all items, please ignore this reminder.

Waivers over 60 days:

(b)(5) internal agency network

OGE Applications Portal: (b)(5) internal agency network

Note: Please do not reply to this e-mail address. Send all inquiries to HelpDesk@oge.gov. Messages sent to this e-mail address will be automatically purged.

HelpDesk@oge.gov - (Local) x849 and/or (Public) (202) 208-0849

ITD HOW TO's: (b)(5) internal agency network

Referral to VA OGC



Referral to VA OGC



From: Christie Chung <cchung@oge.gov>

Sent: Monday, April 26, 2021 5:06 PM

To: Pleasants, Edith E. (OGC) <(b) (6)>

Subject: [EXTERNAL] RE: Koffler § 208(b)(1) waiver - [Message contains CUI] - [Message and attachment(s) contain CUI]

Good afternoon, Edith,

First attachment released below. Second attachment named "Koffler Draft Waiver (OGE edits 4.26) docx" consisting of 8 pages withheld in full - (b)(5).

Thank you for sending back these edits. Attached is a clean copy of the draft that incorporates all of the edits. There's one additional suggestion from me on Page (b) and on Page (b) I believe the language that I added to Page (b) is the language that we discussed last week.

Also, I have one clarification question:

1. (b) (5) I noticed (b) (5) because (b) (5). I'm just curious

Thanks!

Christie

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Email and attachment(s) contain CUI.



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Referral to VA OGC



From: Christie Chung <cchung@oge.gov>

Sent: Tuesday, April 27, 2021 9:50 AM

To: Pleasants, Edith E. (OGC) (b) (6) >

Subject: [EXTERNAL] RE: Koffler § 208(b)(1) waiver - [Message contains CUI] - [Message and attachment(s) contain CUI] - [Message contains CUI]

Good morning, Edith,

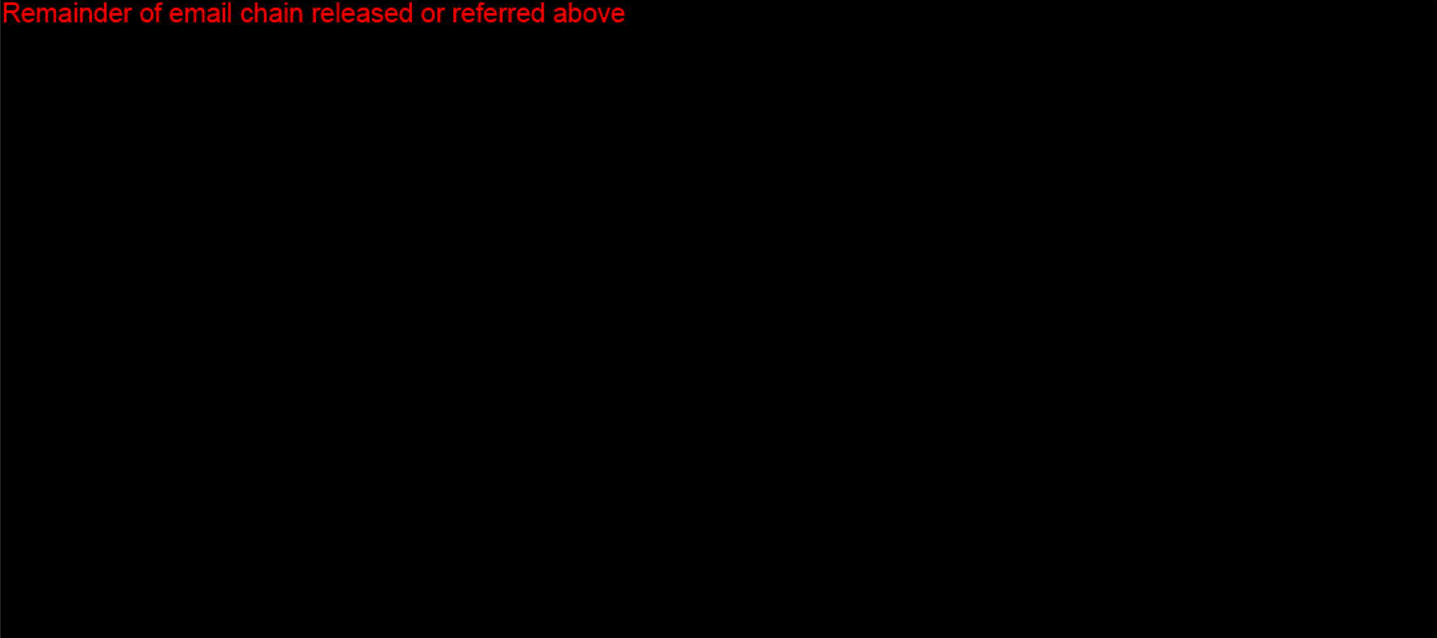
The waiver states that Dr. Koffler's (b) (5), (b) (6)

(b) (5), (b) (6) ?

Thanks for the clarification,
Christie

CONTROLLED

Remainder of email chain released or referred above



From: [Maura Leary](#)
To: [Christie Chung](#)
Subject: RE: Question about Sumption 208 waiver
Date: Tuesday, April 27, 2021 9:05:25 PM

Christie,

I'm so sorry I didn't get back to you timely on this! I just missed it in the avalanche of nom-related emails. I did not make comments on that issue (I think you raise a good point but (b) (5)

Anyway, I'm sure you addressed long ago but just wanted to apologize!

Maura

Maura K. Leary
Assistant Counsel
U.S. Office of Government Ethics
Telephone: 202-482-9231
mleary@oge.gov

From: Christie Chung
Sent: Friday, April 16, 2021 3:10 PM
To: Maura Leary <mleary@oge.gov>
Subject: Question about Sumption 208 waiver

Hi Maura,

I'm working on a § 208 waiver from SEC that is related to the waiver that you're working on for Nancy Sumption. The employees are working on the same particular matter/investigation I believe.

Did you happen to have any comments regarding (b) (5)

I think (b) (5)

Thanks!
Christie

Christie Chung
Assistant Counsel
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington, DC 20005
Office: (202) 482-9221

From: [Seth Jaffe](#)
To: [David Taube](#)
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]
Date: Wednesday, April 28, 2021 8:10:15 AM

David,
Thank you, that is helpful.
Thanks again,
Seth

From: David Taube
Sent: Wednesday, April 28, 2021 7:36 AM
To: Seth Jaffe <sjaffe@oge.gov>
Cc: David Taube <dtaube@oge.gov>
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Seth,

Regarding the (b) (6) for Dr. Lander, and particularly (b)(5) (b)(6)
here's what Funmi said: "(b)(5) (b)(6)"

[REDACTED]

[REDACTED]

[REDACTED]

I hope that addresses your question. If there's anything else you want me to look into, please let me know.

-David

From: Seth Jaffe
Sent: Monday, April 26, 2021 09:52
To: David Taube <dtaube@oge.gov>
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

David,

Thank you for the update. I appreciate it.

Do you know why (b)(5) (b)(6) ?

Thanks again,
Seth

From: David Taube
Sent: Monday, April 26, 2021 9:49 AM
To: Seth Jaffe <sjaffe@oge.gov>
Subject: FW: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Seth,

Funmi responded on Sunday, so we do have some information about Dr. Lander's (b)(5)(b)(6)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]. If there's anything I can do, let me know.

Thanks,
David

From: Olorunnipa, Funmi B. EOP/WHO [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Monday, April 26, 2021 09:36

To: David Taube <dtaube@oge.gov>

Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Hi David,

(b)(5) (b)(6). My understanding from talking with OSTP ethics is that (b)(5) (b)(6)

Thanks,

-Funmi

From: David Taube <dtaube@oge.gov>

Sent: Sunday, April 25, 2021 11:04 AM

To: Olorunnipa, Funmi B. EOP/WHO <(b) (6)>

Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Thanks, Funmi. This is very helpful.

(b)(5) (b)(6)

"?

-David

From: Olorunnipa, Funmi B. EOP/WHO [[mailto:\(b\) \(6\)](mailto:(b) (6)@oge.gov)]

Sent: Sunday, April 25, 2021 10:55

To: David Taube <dtaube@oge.gov>

Subject: Re: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Hi David,

Apologies for the delayed response. (b)(5) (b)(6)

(b)(5) (b)(6). We anticipate a waiver will be issued once he is confirmed as the Director of OSTP and I will make sure you receive a copy once it is issued. Thanks for following up !

Funmi

On Apr 24, 2021, at 7:45 PM, David Taube <dtaube@oge.gov> wrote:

== CONTROLLED ==

Email contains CUI.

Hi, Funmi.

I'm just following up on my message from earlier in April. If any waivers were issued to Eric Lander, please send copies to me, for the OGE database.

Thank you,

David

Remainder of chain withheld in full (b)(5) and (b)(6)

Referral to VA OGC



From: Christie Chung <cchung@oge.gov>

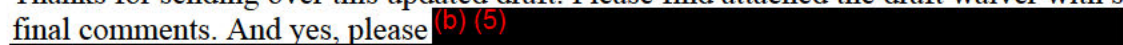
Sent: Monday, May 3, 2021 1:17 PM

To: Pleasants, Edith E. (OGC) (b) (6) >

Subject: [EXTERNAL] RE: 161547 Koffler § 208(b)(1) waiver - [Message contains CUI] - [Message and attachment(s) contain CUI] - [Message contains CUI] - [Message and attachment(s) contain CUI]

Edith,

Thanks for sending over this updated draft. Please find attached the draft waiver with some final comments. And yes, please (b) (5)



Thanks,
Christie

CONTROLLED

Email and attachment(s) contain CUI.

Referral to VA OGC



Referral to VA OGC



From: Christie Chung <cchung@oge.gov <<mailto:cchung@oge.gov>> >
Sent: Tuesday, April 27, 2021 9:50 AM
To: Pleasants, Edith E. (OGC) (b) (6) <[\(b\) \(6\)](mailto:(b) (6))> >
Subject: [EXTERNAL] RE: Koffler § 208(b)(1) waiver - [Message contains CUI] - [Message and attachment(s) contain CUI] - [Message contains CUI]

Good morning, Edith,
The waiver states that Dr. Koffler's (b) (5)
(b) (5)

Thanks for the clarification,
Christie
CONTROLLED

Remainder of email chain released or referred above





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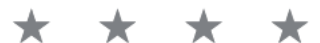
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Referral to EPA

From: Elysabeth Benjamin <ebenjami@oge.gov>

Sent: Friday, May 14, 2021 8:22 AM

To: Fugh, Justina <(b) (6)>

Cc: Clarke, Victoria <(b) (6)>

Subject: RE: draft waiver; question about (b) (5)

Morning! I've received the waiver an your question and will review and do some research and get back to you most likely early next week.

Liz Benjamin

Desk Officer and Integrity Program Specialist

Program Counsel Division

Legal, External Affairs and Performance Branch

US Office of Government Ethics

1201 New York Ave NW, Suite 500

Washington, DC 20005

(202) 482-9259

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Referral to EPA



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Referral to EPA



From: Christie Chung <cchung@oge.gov>

Sent: Friday, May 28, 2021 2:42 PM

To: Fugh, Justina <(b) (6)>

Subject: McCrystal § 208(b)(1) waiver - [Message and attachment(s) contain CUI]

CONTROLLED

Email and attachment(s) contain CUI.

First attachment released below. Second attachment named "McCrystal draft waiver (OGE edits).docx" consisting of 7 pages withheld in full - (b)(5)

Good afternoon, Justina,

I've been assigned to review the § 208(b)(1) waiver that you submitted for Mr. James McCrystal. First of all, I sincerely apologize for the delay. There appears to have been a system error and the waiver was not forwarded for assignment until yesterday. Attached are my comments on the waiver. Please let me know if anything is unclear or if you have any questions.

Also, for my notes, could you please confirm that:

- Mr. McCrystal (b)(5), (6)

; and

- (b) (5)

On an entirely unrelated note: I just wanted to reach out to say thanks for presenting earlier this month at our AAPI event. I'm really glad I got to attend and hear about some of your family history/experiences.

Best,
Christie

Christie Chung
Assistant Counsel
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington, DC 20005
Office: (202) 482-9221

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Referral to EPA



On May 28, 2021, at 5:33 PM, Christie Chung <cchung@oge.gov> wrote:

Justina,

The revisions look good to me; OGE does not object to the issuance of this waiver.

Regarding that one paragraph I suggested moving – I thought it improved the flow to include it in the section that discusses limitations; however, I understand if you'd prefer to keep it where it is for purposes of consistency with prior waivers.

If you could remember to send me a copy of the final waiver, once issued, it'd be much appreciated.

Thanks!
Christie

CONTROLLED

Remainder of email chain released or referred above



Referral to EPA

From: [Seth Jaffe](#)
To: [David Taube](#)
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]
Date: Friday, June 4, 2021 10:53:30 AM

Hi David,
Per our conversation, thank you for being willing to close this out in FDTs.
Thanks again,
Seth

From: David Taube
Sent: Friday, June 4, 2021 10:18 AM
To: Seth Jaffe <sjaffe@oge.gov>
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Seth,
I take it that you have no problem with this waiver as issued. Is that correct?

(b) (5)
[Redacted]
[Redacted]
[Redacted]

-David

From: Seth Jaffe
Sent: Thursday, June 3, 2021 17:03
To: David Taube <dtaube@oge.gov>
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]
David,
Thank you very much.
Seth

From: David Taube
Sent: Thursday, June 3, 2021 4:33 PM
To: Seth Jaffe <sjaffe@oge.gov>
Subject: FW: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

From: Olorunnipa, Funmi B. EOP/WHO [[\(b\) \(6\)](mailto:(b) (6))]
Sent: Thursday, June 3, 2021 15:59
To: David Taube <dtaube@oge.gov>
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Attachment withheld in full - (b)(3). A copy of Eric Lander's 208 waiver can be obtained directly from the WH.

David,
Attached is the signed 208(b)(1) waiver for Dr. Lander in his capacity as Director of OSTP. If you have any questions about it please let me know.
-Funmi

Remainder of email chain released or referred above

[Redacted]

From: [David Taube](#)
To: ["Olorunnipa, Funmi B. EOP/WHO"](#)
Cc: [Seth Jaffe](#)
Subject: RE: Waiver for (b) (6) Consultant Lander — [Message and attachment contain CUI]
Date: Friday, June 4, 2021 12:37:09 PM

== CONTROLLED ==

Email contains CUI.

Funmi,

Thanks for sending a copy of the signed waiver. I've added the document to our database and closed out the waiver action.

If there's anything you need, please let me know. Either way, have a great weekend!

-David

From: Olorunnipa, Funmi B. EOP/WHO [mailto:(b) (6)]
Sent: Thursday, June 3, 2021 15:59
To: David Taube <dtaube@oge.gov>
Subject: RE: Waiver for WH (b) (6) Lander — [Message and attachment contain CUI]

Attachment is the same as the one on the email sent from F Olorunnipa on 6/3/21 at 15:59, above.

David,

Attached is the signed 208(b)(1) waiver for Dr. Lander in his capacity as Director of OSTP. If you have any questions about it please let me know.

-Funmi

Remainder of email chain released or referred above



From: [Christie Chung](#)
To: "(b)(6) - Edith Pleasant's email address"
Subject: Koffler 208(b)(1) waiver - [Message contains CUI]
Date: Monday, June 14, 2021 5:01:08 PM

CONTROLLED

Hi Edith,

Hope all is well with you. I'm just writing to check in on the status of Yacov Koffler's 208(b)(1) waiver. Do you know whether it was issued yet? If so, could you please send me a copy of the final waiver?

Thanks!
Christie

Christie Chung
Assistant Counsel
U.S. Office of Government Ethics
1201 New York Avenue, N.W., Suite 500
Washington, DC 20005
Office: (202) 482-9221

From: [Christie Chung](#)
To: ["Pleasants, Edith E. \(OGC\)"](#)
Subject: RE: 161547 Koffler 208(b)(1) waiver - [Message contains CUI] - [Message contains CUI]
Date: Monday, June 14, 2021 5:51:46 PM

Thanks for the update!

CONTROLLED

Referral to VA OGC



From: Christie Chung <cchung@oge.gov <<mailto:cchung@oge.gov>> >

Sent: Monday, June 14, 2021 5:01 PM

To: Pleasants, Edith E. (OGC) <(b) (6)> <mailto:(b) (6)> >

Subject: [EXTERNAL] Koffler 208(b)(1) waiver - [Message contains CUI]

CONTROLLED

Hi Edith,

Hope all is well with you. I'm just writing to check in on the status of Yacov Koffler's 208(b)(1) waiver. Do you know whether it was issued yet? If so, could you please send me a copy of the final waiver?

Thanks!

Christie

Christie Chung

Assistant Counsel

U.S. Office of Government Ethics

1201 New York Avenue, N.W., Suite 500

Washington, DC 20005

Office: (202) 482-9221

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Referral to VA OGC



Referral to VA OGC



From: Christie Chung <cchung@oge.gov>
Sent: Monday, June 14, 2021 5:01 PM
To: Pleasants, Edith E. (OGC) (b) (6) <[REDACTED]>
Subject: [EXTERNAL] Koffler 208(b)(1) waiver - [Message contains CUI]

CONTROLLED

Hi Edith,

Hope all is well with you. I'm just writing to check in on the status of Yacov Koffler's 208(b)(1) waiver. Do you know whether it was issued yet? If so, could you please send me a copy of the final waiver?

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From: [David J. Apol](#)
To: [Emory A. Rounds III](#)
Cc: [Heather A. Jones](#); [Lorna A. Syme](#)
Subject: RE: Delegations of 208 authority
Date: Thursday, January 28, 2021 6:26:47 PM

This has been resolved. There was a misunderstanding about what kind of waiver was needed. Everyone understands that agency head 208 waivers come from White House Counsel.

From: Emory A. Rounds III
Sent: Thursday, January 28, 2021 4:23 PM
To: David J. Apol
Subject: FW: Delegations of 208 authority

Please call me when you have a moment. Thank you.

From: Heather A. Jones
Sent: Thursday, January 28, 2021 4:15 PM
To: Emory A. Rounds III <earounds@oge.gov>; David J. Apol <djapol@oge.gov>
Subject: FW: Delegations of 208 authority

6 page attachment withheld in full - (b) (6).

Emory and Dave-

I understand from Lorna that Funmi is going to call Emory about the delegation of the Lander waiver. I wanted to share what Keith sent yesterday.

Heather

From: Keith Labeledz
Sent: Wednesday, January 27, 2021 9:33 AM
To: Lorna A. Syme <lasyme@oge.gov>
Cc: Heather A. Jones <hajones@oge.gov>; Deborah J. Bortot <djbortot@oge.gov>
Subject: Delegations of 208 authority

See below. In any event, logically speaking, I would say (b) (5)

(b) (5)
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